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Page 1 of 1  
#2

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/072,081         | 02/06/2002          | Sergey Potekhim       | PA2040US               |

22830  
CARR & FERRELL LLP  
2225 EAST BAYSHORE ROAD  
SUITE 200  
PALO ALTO, CA 94303



CONFIRMATION NO. 9465

FORMALITIES LETTER



\*OC000000007599702\*

Date Mailed: 03/07/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



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Please use a plus sign (+) inside this box → ☐

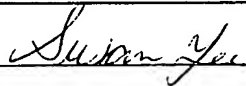
PTO/SB/21 (08-00)

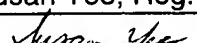
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|   |                             |                               |          |
|---|-----------------------------|-------------------------------|----------|
| <b>TRANSMITTAL<br/>FORM</b><br><br>(to be used for all correspondence after initial filing) | <b>Application Number</b>   | 10/072,081                    |          |
|   | <b>Filing Date</b>          | February 6, 2002              |          |
|   | <b>First Named Inventor</b> | Sergey Potekhim               |          |
|   | <b>Group Art Unit</b>       | 2641                          |          |
|   | <b>Examiner Name</b>        | Unknown                       |          |
| <b>Total Number of Pages in This Submission</b>   | 9                           | <b>Attorney Docket Number</b> | PA2040US |

| <b>ENCLOSURES (check all that apply)</b>  |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input checked="" type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment / Reply  | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                                      | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                                      | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                                    | <input type="checkbox"/> Terminal Disclaimer  | Postcard   |
| <input type="checkbox"/> Information Disclosure Statement                               | <input type="checkbox"/> Request for Refund   | Check  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                         | <input type="checkbox"/> CD, Number of CD(s) _____                                      | Declaration and Power of Attorney  |
| <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application   | <b>Remarks</b>  |  |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | Total page number does not include postcard and check.                                  |  |

| <b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b> |   |
|---|---|
| <b>Firm or Individual name</b>                    | Carr & Ferrell, LLP Cust. No. 22830   |
| <b>Signature</b>                                  |  Reg. No. 41,388 |
| <b>Date</b>                                       | April 16, 2002  |

| <b>CERTIFICATE OF MAILING</b>   |   |
|---|---|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: April 16, 2002 |   |
| <b>Typed or printed name</b>  | Susan Yee, Reg. No. 41, 388   |
| <b>Signature</b>  |  Date April 16, 2002 |

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PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 130.00

## Complete If Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/072,081       |
| Filing Date          | February 6, 2002 |
| First Named Inventor | Sergey Potekhim  |
| Examiner Name        | Unknown          |
| Group Art Unit       | 2641             |
| Attorney Docket No.  | PA2040US         |

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 06-0600  
Deposit Account Name: Carr & Ferrell, LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Code (\$) | Small Entity Code (\$) | Fee Description        | Fee Paid |
|------------------------|------------------------|------------------------|----------|
| 101 740                | 201 370                | Utility filing fee     |          |
| 106 330                | 206 165                | Design filing fee      |          |
| 107 510                | 207 255                | Plant filing fee       |          |
| 108 740                | 208 370                | Reissue filing fee     |          |
| 114 160                | 214 80                 | Provisional filing fee |          |

SUBTOTAL (1) (\$ ) 0

### 2. EXTRA CLAIM FEES

| Total Claim        | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | - 20 =       | X              | = 0      |
| Multiple Dependent | - 3 =        | X              | = 0      |

| Large Entity Code (\$) | Small Entity Code (\$) | Fee Description  |
|------------------------|------------------------|--|
| 103 18                 | 203 9                  | Claims in excess of 20                                     |
| 102 84                 | 202 42                 | Independent claims in excess of 3                          |
| 104 280                | 204 140                | Multiple dependent claim, if not paid                      |
| 109 84                 | 209 42                 | ** Reissue independent claims over original patent         |
| 110 18                 | 210 9                  | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ ) 0

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 105 130                    | 205 65                     | Surcharge - late filing fee or oath  | 130      |
| 127 50                     | 227 25                     | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130                    | 139 130                    | Non-English specification  |          |
| 147 2,520                  | 147 2,520                  | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112 920*                   | 112 920*                   | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840*                 | 113 1,840*                 | Requesting publication of SIR after Examiner action                        |          |
| 115 110                    | 215 55                     | Extension for reply within first month                                     |          |
| 116 400                    | 216 200                    | Extension for reply within second month                                    |          |
| 117 920                    | 217 460                    | Extension for reply within third month                                     |          |
| 118 1,440                  | 218 720                    | Extension for reply within fourth month                                    |          |
| 128 1,960                  | 228 980                    | Extension for reply within fifth month                                     |          |
| 119 320                    | 219 160                    | Notice of Appeal   |          |
| 120 320                    | 220 160                    | Filing a brief in support of an appeal                                     |          |
| 121 280                    | 221 140                    | Request for oral hearing   |          |
| 138 1,510                  | 138 1,510                  | Petition to institute a public use proceeding                              |          |
| 140 110                    | 240 55                     | Petition to revive - unavoidable   |          |
| 141 1,280                  | 241 640                    | Petition to revive - unintentional   |          |
| 142 1,280                  | 242 640                    | Utility issue fee (or reissue)   |          |
| 143 460                    | 243 230                    | Design issue fee   |          |
| 144 620                    | 244 310                    | Plant issue fee  |          |
| 122 130                    | 122 130                    | Petitions to the Commissioner  |          |
| 123 50                     | 123 50                     | Processing fee under 37 CFR 1.17(q)  |          |
| 126 180                    | 126 180                    | Submission of Information Disclosure Stmt                                  |          |
| 581 40                     | 581 40                     | Recording each patent assignment per property (times number of properties) |          |
| 146 740                    | 246 370                    | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 740                    | 249 370                    | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 740                    | 279 370                    | Request for Continued Examination (RCE)                                    |          |
| 169 900                    | 169 900                    | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

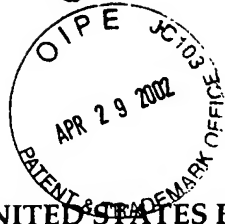
SUBTOTAL (3) (\$ ) 130

## SUBMITTED BY

|                   |                  |                                   |                |                          |           |                |
|-------------------|------------------|-----------------------------------|----------------|--------------------------|-----------|----------------|
| Name (Print/Type) | Susan Yee        | Registration No. (Attorney/Agent) | 41,388         | Complete (if applicable) | Telephone | (650) 812-3400 |
| Signature         | <i>Susan Yee</i> | Date                              | April 16, 2002 |                          |           |                |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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#3

Dec

IN THE

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APPLICANTS: Sergey Potekhim et al.  
SERIAL NO.: 10/072,081  
FILING DATE: February 6, 2002  
TITLE: Control Unit for Multipoint Multimedia/ Audio Conference  
ATTY.DKT.NO.: PA2040US

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COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**RESPONSE TO THE NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

SIR:

In response to the Notice to File Missing Parts of Nonprovisional Application, dated March 7, 2002, and received in the above-identified patent application, Applicant is enclosing:

- (1) a copy of the Notice to File Missing Parts;
- (2) a signed Declaration and Power of Attorney; and

(3) a check for \$130.00 for the Missing Parts surcharge.

Respectfully submitted,

Sergey Potekhim et al.

Date: 4/16/02

By:

Susan Yee  
Susan Yee, Reg. No. 41,388  
Carr & Ferrell, LLP  
2225 East Bayshore Road, Suite 200  
Palo Alto, CA 94303  
TEL: (650) 812-3400  
FAX: (650) 812-3444

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, on the date printed below:

Date: 4/16/02

By:

Susan Yee  
Susan Yee, Reg. No. 41,388